

## PART B - FEE(S) TRANSMITTAL



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9629 7590 05/23/2007  
**MORGAN LEWIS & BOCKIUS LLP**  
1111 PENNSYLVANIA AVENUE NW  
WASHINGTON, DC 20004  
07/02/2007 CCHAU2 00000053 500310 09679813  
01 FC:2501 700.00 DA

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I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being facsimile transmitted to the USPTO (571) 273-2885, on the date indicated below.

*David H. Golub* (Depositor's name)  
*David H. Golub* (Signature)  
*June 27, 2007* (Date)

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/679,813	10/05/2000	Steven A. Lapierre	052144-5001	8794

TITLE OF INVENTION: SYSTEM AND METHOD FOR ELECTRONIC TRADING OF ASSETS

APPLN. TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	YES	\$700	\$0	\$0	\$700	08/23/2007

EXAMINER	ART UNIT	CLASS-SUBCLASS
AKINTOLA, OLABODE	3691	705-037000

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).  
 Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.  
 "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required.

2. For printing on the patent front page, list  
(1) the names of up to 3 registered patent attorneys or agents OR, alternatively,  
(2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.

*Morgan Lewis & Bockius LLP*  
1 \_\_\_\_\_  
2 \_\_\_\_\_  
3 \_\_\_\_\_

3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

*Novaplex Technologies, Inc.*

(B) RESIDENCE: (CITY and STATE OR COUNTRY)

*Lairghorne, PA*

Please check the appropriate assignee category or categories (will not be printed on the patent):  Individual  Corporation or other private group entity  Government

4a. The following fee(s) are submitted:

Issue Fee  
 Publication Fee (No small entity discount permitted)  
 Advance Order - # of Copies \_\_\_\_\_

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A check is enclosed.  
 Payment by credit card. Form PTO-2038 is attached.  
 The Director is hereby authorized to charge the required fee(s), any deficiency, or credit any overpayment, to Deposit Account Number *50-0310* (enclose an extra copy of this form).

5. Change In Entity Status (from status indicated above)

a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27.  
 b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2).

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Authorized Signature \_\_\_\_\_

Date *June 27/2007*

Typed or printed name *David H. Golub*

Registration No. *33,701*

This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

There application of: Steven A. Lapierre  
 Serial No.: 09/679,813  
 Filed: October 5, 2000  
 For: System and Method for Electronic Trading of Assets

Group No.: 3691  
 Examiner: Akintola, Olabode

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 Commissioner for Patents  
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## TRANSMITTAL OF PAYMENT OF PUBLICATION AND ISSUE FEES (37 C.F.R. § 1.311)

1. Applicant hereby pays the issue fee for the attached Issue Fee Transmittal PTOL-85.

2. Fee (37 C.F.R. §§ 1.18(a) and (b)):

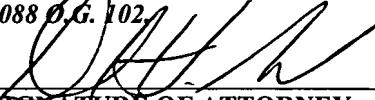
Application status is:	<u>Regular</u>	<u>Design</u>
<input checked="" type="checkbox"/> small business entity--fee	<input checked="" type="checkbox"/> \$700.00	<input type="checkbox"/> \$235.00
<input type="checkbox"/> verified statement attached		
<input checked="" type="checkbox"/> small entity status asserted October 5, 2000		
<input type="checkbox"/> other than a small entity--fee	<input type="checkbox"/> \$1,400.00	<input type="checkbox"/> \$470.00

3. Payment of fee:

Enclosed is a check for \$0000.00.  
 Charge Account 50-0310 the sum of \$700.00. A duplicate of this request is attached.

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Reg. No.: 33,701

  
 SIGNATURE OF ATTORNEY

Tel. No.: 215-963-5055

Daniel H. Golub  
 type or print name of attorney  
 Morgan, Lewis & Bockius LLP  
 1701 Market Street  
 Philadelphia, PA 19103-2921

## CERTIFICATE OF MAILING/TRANSMISSION (37 C.F.R. § 1.8a)

I hereby certify that this correspondence is, on the date shown below, being:

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 Signature

Date: June 27, 2007

Daniel H. Golub  
 (type or print name of person certifying)